

methylpropane), m/e 202 ($M^+ + H$); HRMS, m/e 201.0781 ($C_{12}H_{11}NO_2$ requires 201.0790).

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Reexamination of the Notion of π -Electron Delocalization Energy as a Theoretical Index to the Empirical Resonance Energy

Masahiro Kataoka* and Takeshi Nakajima¹

Department of Chemistry, Faculty of Science, Tohoku University, Sendai 980, Japan

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As early as in 1959, using the modified Hückel MO (HMO) method in which the effect of the σ -bond compression is taken into account, Longuet-Higgins and Salem² pointed out that in $[4n + 2]$ annulenes the total bond energy of π electrons decreases along the bond-alternation mode. However, this important result has, until recently, escaped the attention it deserves. Recently, Epiotis³ reaffirmed the above result, and Shaik and Hiberty⁴ raised the question whether it is really the π system that drives benzene to be a symmetric hexagonal species. Very recently, Hiberty et al.⁵ presented computational evidence that the symmetrical hexagonal structure of benzene is driven by the σ framework alone, the π system being found to favor a distorted and localized structure (see also ref 6 and 7). In these circumstances, the traditional view that the π -electron delocalization energy (DE) is useful as a theoretical index to the empirical resonance energy (RE) turns out to be erroneous and meaningless. Note that DE is the quantity defined in the framework of the constant- β HMO approximation, and it favors energetically the symmetric hexagonal benzene and a delocalized symmetric species in general. Nevertheless, it is well-known that in alternant hydrocarbons, the π -electron DE value has an excellent correlation with the empirical RE.⁸ The aim of this paper is to understand the physical basis behind the above apparent correlation and to rationalize the π -electron DE value as an index to be used in predicting the stabilities and geometries of conjugated hydrocarbons.

The RE of a conjugated hydrocarbon is defined as

$$RE = E_{\text{tot}}(M) - E_{\text{tot}}(K) \quad (1)$$

where $E_{\text{tot}}(M)$ and $E_{\text{tot}}(K)$ are the total energies of a delocalized symmetric structure and a Kekulé-type one in which isolated double bonds are linked by single bonds, respectively. According to Longuet-Higgins and Salem,² the total bond energy associated with a CC bond taken to be the sum of the σ -bond energy (f) and the π -electron energy is given by

$$E_{ij} = f_{ij} + 2P_{ij}\beta_{ij} = -(2/b)(dP_{ij}/dr_{ij})\beta_{ij} + \text{const} \quad (2)$$

where P_{ij} is the π -bond order of the i - j bond and the resonance integral, $\beta_{ij}(r_{ij})$, has been assumed to be an exponential function of the form

$$\beta_{ij}(r_{ij}) = \beta_b \exp[b(r_b - r_{ij})] \quad (3)$$

where β_b and r_b are the values in benzene. Now, the equilibrium bond length, r_{ij} , is assumed to be related to P_{ij} by

$$r_{ij}/\text{\AA} = r_0 - aP_{ij} \quad (4)$$

Differentiating this equation with respect to r_{ij} and substituting for (dP_{ij}/dr_{ij}) in eq 2, we obtain

$$E_{ij} = (2/ab)\beta_{ij} + \text{const}$$

Summing E_{ij} over all the CC bonds, we obtain the total energy of an alternant hydrocarbon as

$$E_{\text{tot}} = \frac{2}{ab} \sum_{i < j}^{\text{all bonds}} \beta_{ij} + N\alpha + E_{\text{core}}^\sigma + \text{const} \quad (5)$$

where α is the Coulomb integral of the C atom, N the number of π electrons, and E_{core}^σ the σ core energy. Further, substituting for r_{ij} in eq 3 from eq 4, we obtain

$$\beta_{ij} = B \exp(abP_{ij}) \quad (6)$$

where

$$B = \beta_b \exp[b(r_b - r_0)] \quad (7)$$

It should be noted that in order for benzene to keep D_{6h} symmetry, the condition $ab < 1$ must be fulfilled.⁹

Since $abP_{ij} < 1$ ($abP_{ij} \approx 0.5$ in benzene), we now expand $\exp(abP_{ij})$ in power series of abP_{ij} and neglect the terms higher than the first power, thus obtaining

$$\beta_{ij} = B(1 + abP_{ij}) \quad (8)$$

Substituting eq 8 into eq 5, we then have

$$E_{\text{tot}} = \sum_{i < j}^{\text{all bonds}} \left(2P_{ij}B + \frac{2}{ab}B \right) + N\alpha + E_{\text{core}}^\sigma + \text{const} \quad (9)$$

Using eq 9, we can write the total energy of a Kekulé-type structure with $P = 1$ for double bonds and $P = 0$ for single bonds as

$$E_{\text{tot}}(K) = 2nB + \sum_{i < j}^{\text{all bonds}} \frac{2}{ab}B + N\alpha + E_{\text{core}}^\sigma + \text{const} \quad (10)$$

where n is the number of double bonds. The RE can thus be written in the following form:

$$RE = E_{\text{tot}}(M) - E_{\text{tot}}(K) = \left[\sum_{i < j}^{\text{all bonds}} 2P_{ij} - 2n \right] B \quad (11)$$

The last expression of eq 11, if B is replaced by β_b , is nothing but the expression of the DE defined in the constant- β HMO approximation. It is thus revealed that there does exist a proportionality between the RE and DE values. It should be remarked that the value of β that

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reproduces the empirical RE values ($\beta \approx -16 \text{ kcal mol}^{-1}$) is far smaller than the values derived by other correlations such as ionization potentials versus energies of the highest occupied MOs.⁸ The reason for this is given by the fact that, as is shown in eq 11, the proportionality constant is not β_b but B given by eq 7: using $b = 4.5 \text{ \AA}^{-1}$ and $r_0 = 1.520 \text{ \AA}$,⁹ we have $B = 0.57\beta_b$.

Schaad and Hess¹⁰ have given a rationalization for the use of the simple HMO method that takes into account only the π -bonding energy in calculating RE by demonstrating that for each bond both the σ -compression energy and the π -bonding energy are approximately linear functions of π -bond order. They have actually shown that the constant- β HMO method can reproduce well Dewar's RE^{11,12} if, following Dewar, one uses a polyene reference structure. However, their results show erroneously that the σ -compression energy favors a distorted and localized structure.

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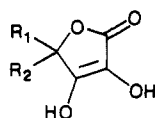
Synthetic Approaches to 4-Spiro-2-hydroxytetronic Acids¹

Donald T. Witiak* and Ashok K. Tehim

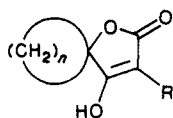
Division of Medicinal Chemistry, College of Pharmacy, The Ohio State University, Columbus, Ohio 43210

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Tetronic acids are components of numerous natural products,² including chlorothricin,^{3,4} (-)-vertinolidide,⁵ ircinianin,⁶ hippospongins,⁷ and ionophore M 139603,⁸ of contemporary interest. The aci-reductone 2-hydroxytetronic acid system **1** found in chlorothricin^{3,4} and ascorbic acid² has received considerably less attention. Discovery



- 1: $R_1 = R_2 = \text{H}$
2: $R_1 = \text{H}, R_2 = p\text{-ClC}_6\text{H}_4$
3: $R_1 = R_2 = \text{Me}$



- 4: $n = 5, R = \text{OH}$
5: $n = 4, R = \text{OH}$
6: $n = 5, R = \text{H}$

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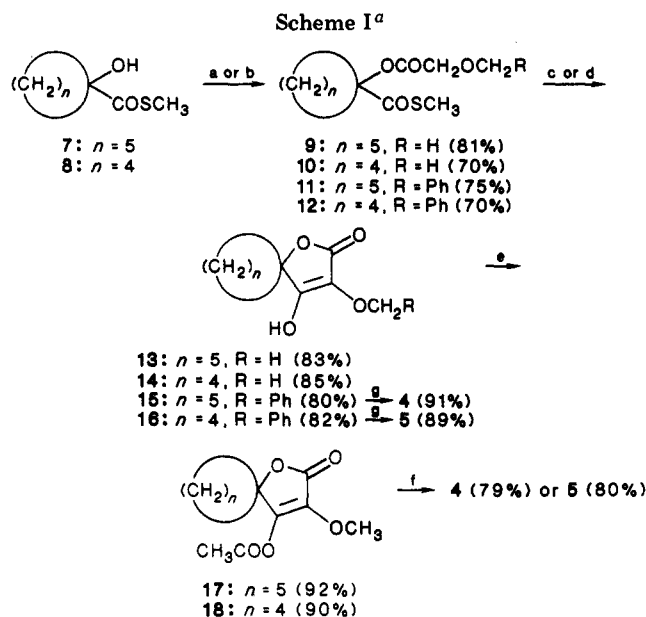
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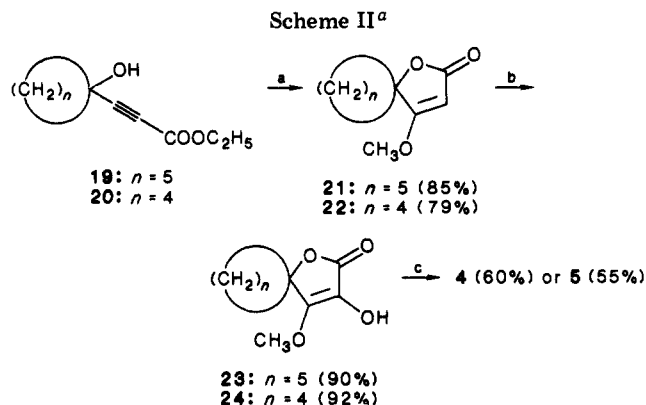
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^a Reagents and conditions: (a) *n*-BuLi, $\text{CH}_3\text{OCH}_2\text{COCl}$, reflux, 1 h; (b) *n*-BuLi, $\text{PhCH}_2\text{OCH}_2\text{COCl}$, reflux, 1 h; (c) LDA, -78°C to room temperature, 2 h; (d) lithium hexamethyldisilazide (LiHMDA), -78°C to room temperature, 2 h; (e) Ac_2O , Py; (f) BBr_3 , CH_2Cl_2 , -78°C to room temperature, 2 h; (g) Pd/C (10%), cyclohexene, reflux, 15 min.



^a Reagents and conditions: (a) NaOMe, MeOH, room temperature, 6 h; (b) LDA, -78°C (0.5 h), $\text{B}(\text{OMe})_3$ (0.5 h), AcOH, H_2O_2 (30%); (c) HBr (48%), 45°C .

of the antiaggregatory and antilipidemic properties of the 4-(4-chlorophenyl) analogue **29** provided the impetus for us to investigate mechanism-based,¹⁰ structure-activity relationships. The early work of Dahn et al.¹¹ on the synthesis of aci-reductones employing a benzoin condensation is only applicable for the preparation of 4-aryl-2-hydroxytetronic acids. We investigated synthesis of spiro targets **4** and **5** with a view toward development of a general approach to the preparation of 4,4-disubstituted analogues.

2-Hydroxy derivative **4** previously had been prepared by Schank and Blattner¹² from the corresponding spiro-

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